

Receipt date: 03/31/2009

09823195 - GAU: 2895

Doc code: DS

PTO/SB/08a (01-09)

Doc Description: Information Disclosure Statement (IDS) Filed

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09823195
Filing Date	2001-03-29
First Named Inventor	Sean Xiao-An ZHANG et al.
Art Unit	2895
Examiner Name	Caridad Everhart
Attorney Docket Number	10010538-1

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/C.E./	1	6128214		2000-10-03	KUEKES et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
/C.E./	1	11-509271	JP	A	1999-08-17	IBM Corp.	see WO9835271A	<input type="checkbox"/>
/C.E./	2	9835271	WO	A1	1998-08-13	IBM Corp.		<input type="checkbox"/>
/C.E./	3	2728123	JP	B	1997-12-12	NEC Corp.		<input type="checkbox"/>

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If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
/C.E./	1	HUTCHISON, Katherine A. et al., "Chiroptices: molecular chiroptical dipole switches for optical data storage", Micro- and Nano-photonic Materials & Devices, vol. 3927, 2000- (2000) pp 64-72.	<input type="checkbox"/>
/C.E./	2	SHIMIZU, "Molecular switch and memory for organic electronic materials", vol.141, 1984. (1984) pp 21-40.	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	/Caridad Everhart/	Date Considered	05/15/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	<i>David W. Collins</i>	Date (YYYY-MM-DD)	2009-03-24
Name/Print	David W. Collins	Registration Number	26,857

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